

3 Capitol Hill Providence, RI 02908-5097 Office: (401) 222-2749 www.health.ri.gov

DEPARTMENT OF HEALTH DIVISION OF ENVIRONMENTAL HEALTH CENTER FOR FOOD PROTECTION

APPLICATION INSTRUCTIONS FOR RETAIL FOOD SERVICE BUSINESSES

<u>General Information:</u> This application must be completed to obtain a license for the following types of retail food service businesses: Restaurants (with or without seating), Non-Profit Food Services, Caterer, and Commissary. This application should only be used to open a new business. <u>Renewal of existing licenses should be completed online at: https://healthri.mylicense.com/</u> Registration shall be based upon <u>satisfactory compliance</u> with all applicable laws and regulations.

Applications must be either typed or legibly printed using a ball point pen, except signatures, which must be written in ink. Please answer all questions. A Federal Employer Identification Number (FEIN) must be included on this application. Do not leave blanks. **Incomplete applications may be returned to you and your license/permit will not be issued**.

Attach <u>check/money order made payable to "General Treasurer, State of Rhode Island</u>" to the front of this application and mail to: Center for Food Protection, 3 Capitol Hill, Room 203, Providence, RI 02908-5097. **Do not send cash**. A receipt or canceled check does not guarantee licensure. **Fees are non-refundable**.

Call the Department of Health, Center for Food Protection at 401-222-2749 to schedule a pre-operational inspection two weeks prior to opening. Note: If applicable, you must employ an active Certified Food Safety Manager registered with the Department of Health, Center for Food Protection prior to inspection.

Licensure application materials are public records as mandated by Rhode Island law and may be made available to the public, unless otherwise prohibited by State or Federal law.

SUPPORTING DOCUMENTS - Please be prepared to submit the following documents with the application:

Menu of all foods that will be served. Please attach a copy of a complete menu from your establishment/facility.
Construction Application: If this is a new construction or renovation that exceeds 50% of the value of the establishment, a Construction Application will also need to be completed and submitted along with this application. See Section #2: Plan Review. The Construction Application can be found at: http://health.ri.gov/applications/FoodEstablishmentNewConstruction.pdf
Operational Plan: (Note: This only applies for new businesses or if there is a change of use or operation.): Submit a plan that identifies the intended menu, anticipated volume of food, proposed layout/equipment, and standard procedures to ensure compliance with the Food Code.
Food Safety Plan: Complete the attached Food Safety Plan Template.
Lease Agreement: If you are renting space at a commissary or incubator kitchen, please attach a copy of your signed lease agreement.
Non-Profit Food Service: For a non-profit food service, please attach 501(c)(3) documentation.



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Proof of Approved Water Supply and Wastewater Treatment System Type

Public Water Service/Public Sewer Connection

You may submit a recent copy of water and/or sewer bill as proof of public system connections.

On-Site Well Requirements

If your business is served by an on-site well, please provide a copy of the RIDOH **Public Water System (PWS) License**.

On-Site Wastewater Treatment System (OWTS) (a.k.a. Septic System) Requirements

- New OWTS (Septic System): If your business will discharge wastewater into a newly constructed and conformed OWTS, please provide a copy of the Department of Environmental Management (DEM) <u>approved</u> "OWTS Construction Permit Application" (<u>www.dem.ri.gov/programs/benviron/water/permits/isds/pdfs/isdsappl.pdf</u>) and the DEM "Certificate of Conformance." These documents can be obtained from the DEM OWTS Permitting Program: <u>www.dem.ri.gov/septic</u>.
- Existing OWTS (Septic System): If your business will discharge wastewater into an existing OWTS, please provide a copy of your DEM System Suitability Determination (SSD) Approval (www.dem.ri.gov/programs/benviron/water/permits/isds/pdfs/ssdrescom.pdf). An SSD application must be submitted to DEM. An SSD Approval will only be issued by DEM if the existing OWTS is adequately sized and "suitable" to treat the wastewater generated by the proposed business. Application forms can be obtained from the DEM OWTS Permitting Program: www.dem.ri.gov/septic.
- **Cesspools**: Cesspools are substandard wastewater treatment systems that typically do not include a soil treatment area (e.g. leachfield). If the proposed business will discharge into a cesspool, the cesspool will need to be eliminated and replaced with a new OWTS or a connection to a municipal sewer system (if applicable). Contact the DEM OWTS Permitting Program for guidance on applying for a new OWTS Construction Permit. Visit www.dem.ri.gov/septic to get started.



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Na	me of Business (Doing Business As):		
Previous Busines	ss Name & License Number (if any) at this Address:		
	license that best describes your establishment/facility. (Required)		
Check the box that describes your business.	Please check the box that best describes your type of business operation. Please check only one box		
Food Service Establishment/Restaurant:	□ Day Care □ Bar, Lounge, Tavern □ Cafeteria, Buffet Service □ Fast Food Service □ Full Service Restaurant □ Health Care Facility		
☐ Less Than 50 Seats ☐ 50 Seats or More	 □ Luncheonette, Snack Bar, Fountain □ School (Satellite) □ School (In-feed) □ Take-out only □ Scoop Ice Cream/Novelties (no manufacturing) □ Other (pleasedescribe) 		
☐ Caterer (An establishment that prepares, delivers, and serves food for a separate contracted occasion at a home or another facility like a club.)	☐ College/University ☐ Health Care Facility ☐ Full Service Restaurant ☐ Other (please describe)		
☐ Commissary (An establishment that serves as an operating base kitchen that retail vendors use for food preparation, storage, and other activities.)	☐ Bar, Lounge, Tavern ☐ Cafeteria, Buffet Service ☐ Churches/Clubs/Bazaar ☐ Fast Food Service ☐ Full Service Restaurant ☐ Luncheonette, Snack Bar, Fountain ☐ Take-out only ☐ School (In-feed) ☐ Health Care Facility ☐ Other (pleasedescribe)		
□ Non-Profit Food Service* *You must attach 501(c)(3) with this application.	 □ Day Care □ Bar, Lounge, Tavern □ Cafeteria, Buffet Service □ Health Care Facility □ Churches/Clubs/Bazaars □ Fast Food Service □ Full Service Restaurant □ Caterer □ Commissary □ Luncheonette, Snack Bar, Fountain □ School (Satellite) □ School (In-feed) □ Take-out only □ Scoop Ice Cream/Novelties (no manufacturing) □ Temporary Event Other (please describe) 		

OFFICE USE ONLY		
	Initials	Date
Receipt No.:		
Approved by Field Officer Supervisor:		
License ID#:		
License No.:		

Date Stamp Here				



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1. LICENSE FEES:						
Note: Initial fee is prorated based on the date of application registration. Check only ONE box below.						
Licensing Cycle	Mar. 1 – Jul. 31	Aug. 1 – Oct. 31	Nov. 1 – Feb. 28 (29 Leap Yr.)			
Expiration Date 4/30	(100%)	(75%)	(50%)			
Less than 50 seats	\$160.00	\$120.00	\$ 80.00			
50 or more seats	\$240.00	\$180.00	\$ 120.00			
Caterer or Commissary	\$280.00	\$210.00	\$ 140.00			
Food Service (Non-Profit)	□ \$ 0.00	□ \$ 0.00	□ \$ 0.00			
if the cost of renovations wil	l exceed 50% of the value of the	he establishment. A plan revi	ed if this is new construction or ew fee may be waived if the ne-time plan review fee is not			
If your project is a new construction, renovation that exceeds 50% of the value of the establishment, or is a change in use, a Construction Application will also need to be completed and submitted along with this application. The Construction Application can be found at: http://health.ri.gov/applications/FoodEstablishmentNewConstruction.pdf						
Please include plan review fee with this application (if applicable – if you will be making renovations as described above). Check ONE below. ☐ Less Than 50 seats\$160.00 ☐ More Than 50 seats\$240.00						
☐ Caterer/Commissary \$280.00 ☐ Non-Profit Food Service \$0.00						
Please provide the name, t	3. ESTABLISHMENT/FACILITY CONTACT PERSON Please provide the name, telephone number, and email address of the person most responsible for daily					
operation of this establishment/facility.						
Name: Phone Number:						
Email Address:						
4. FACILITY MAILING ADDRESS Your mailing address will be used by RIDOH for all mailings, including the license. (Not published on HEALTH website – only the establishment location is published).						
Address Line 1:						
Address Line 2:						
Address Line 3:						
City: State: Zip Code:						
Phone Number:	,	Fax Number:				
Email Address:	Email Address:					

5. ESTABLISHMENT/FACILITY LOCATION INFORMATION Please provide the location information for this establishment/facility (Published on RIDOH website).					
Complete below or check here if: ☐ Same as establishment/facility mailing address in # 4 above.					
Address Line 1:					
Address Line 2:					
Address Line 3:					
City:	State:		Zip Code:		
Phone Number:		Fax Number:			
Email Address:					
6. OWNERSHIP INFORMATION Please provide the location information	tion for this establi	shment/facility (No	ot published on RIDOH website).		
Ownership Type - Please check one:					
☐ Individual/ Sole Proprietor ☐ Cor☐ Governmental E	=	ership 🗆 Limited l artnership	Liability Company		
Entity/Owner Name (List only one):		DBA (Doing Busine	ess As):		
Complete below or check here if: \square San	ne as mailing addres	ss in # 4 🗆 Same as e	establishment/facility address in # 5		
Address Line 1:					
Address Line 2:					
Address Line 3:					
City:	State:		Zip Code:		
Country (only if not in USA):		Email Address:			
Phone Number:		Fax Number:			
Is this establishment/facility part of a c	chain operation?	∃Yes □ No			
If "Yes", please identify the name of the chain:					
7. GENERAL INFORMATION					
Water Supply: Does this establishmen all or a portion of its water supply from	-	□ Yes □ No			
If "Yes", please attach a copy of the Public Water System (PWS) License issued by the RIDOH, Center for Drinking Water Quality. (Note: if not a PWS of record, contact RIDOH Center for Drinking Water Quality [401-222-6867] for guidance).					
If "No", provide the name of the city/town water supply: (See Section# 8 below for acceptable supporting documents)					

7. GENERAL INFORMATION (continued)				
Wastewater Treatment System: Will this establishment/facility discharge into an On-Site Wastewater Treatment System (OWTS) (a.k.a. Septic System)?	□ Yes □ No			
If "Yes," please see the Application Instructions for Proof of Type Requirements.	Approved Wastewater Treatment System (OWTS)			
If "No," does the establishment/facility have public sewer connections?	□ Yes □ No			
Number of Seats: Please provide the number of proposed sea	ts in each of the areas below and the total number of seats:			
Inside: Outside: Bar:	Total Number of Seats:			
Employees: Please indicate the number and types of employees. Number of food handling employees (i.e. chefs, cooks, other food preparers): Number of non-food handling employees (i.e. host/hostess, wait staff, dishwashers): Please note: Establishments/facilities which employ 10 or more full-time equivalent employees directly involved in food preparation shall employ at least 2 full-time, on- site managers certified in food safety.	Certified Food Safety Manager(s) are required at all times during preparation or service of time/temperature control for safety (TCS) foods (those that require cooking, cooling, hot-holding, etc.). Does this establishment/facility have a Certified Food Safety Manager?			
8. SUPPORTING DOCUMENTS Please attach/submit the following documents with the	is application:			
Operational Plan that includes the following: (Note: This use/operation.)	only applies for new businesses or if there is a change of			
Menu: Please attach a copy of a complete menu fro	m your establishment/facility.			
Anticipated Volume of Food: Please provide num	ber of seats or expected volume of food prepared/sold			
Standard Procedures: What steps/practices will be	oe used to ensure compliance with the Food Code?			
Construction Application: If applicable, submit your Cons that includes proposed layout and proposed equipment.	struction Application for new construction or renovations			
Proof of Approved Water Source & Wastewater Treatment – You may submit a recent copy of water and/or sewer bill as proof of public system. If your business is on a Well or On-Site Wastewater Treatment System (OWTS), please see the Application Instructions for Proof of Approved On-Site Well and OWTS Requirements.				

9. AFFIDAVIT & SIGNATURE SSN/FEIN (Social Security Number/Federal Employer Pursuant to Chapter 79 of Title 5 of the Rhode Island General Laws, as amended, any person applying for or **Identification Number**) renewing any license, permit, or other authority to Please note: if you are an Individual/Sole Proprietorship conduct a business or occupation within Rhode Island this number may be your SSN. must have filed all required state tax returns and paid all taxes due to the state, or must have entered into a written agreement to pay delinquent state taxes that is satisfactory to the Tax Administrator. Provide your SSN/FEIN#: Affidavit of Application Please read, sign and date this affidavit: **This application** must be signed. I have carefully read the questions in the foregoing application and have answered them completely, without reservations of any kind, and I declare under penalty of perjury that my answers and all statements made by me herein are true and correct. Should I furnish any false information in this application, I hereby agree that such act shall constitute cause for denial, suspension, or revocation of this License in the State of Rhode Island. I understand that this is a continuing application and that I have an affirmative duty to inform the Rhode Island Department of Health of any changes in the answers to these questions after this application and this Affidavit are signed. Signature of Authorized Person Date of Signature (MM/DD/YY) Printed Name of Authorized Person Title of Authorized Person

When a RIDOH Food Business Application is submitted to the Center for Food Protection, several additional documents are also usually required. One such document is a Food Safety Plan (FSP).

An FSP is a written document that shows how a food business operates safely and helps ensure compliance with the Rhode Island Food Code. The food safety elements in this plan should be considered in the daily operation of your establishment in order to produce safe food for your consumers.

RIDOH has developed a very simple FSP template that can be used to create a unique plan for your business *if needed*.

Most likely, some questions in this template will not apply to your business model—that's ok. Use "NA" for questions that are not applicable to your operation.

This template may not cover all required information for more complex and/or special processes. Applicants can choose to develop their own FSP from scratch. Be sure to address the main food safety elements, such as those included in this template.

Good luck! Please call 401-222-2749 if you still have questions.

Food Safety Plan for: [Your Business Name Here]

Complete the following information and customize this template as needed to create a unique Food Safety Plan for your business. Click on the link in each section header for more information. Select "NA" if the question does not apply to your business model. Elements that do not have "NA" must be completed.

Food Employee Reporting Agreement
All employees understand and have signed an employee illness agreement: Yes□ No□
Sick employees are instructed to:
Responding to Vomiting and Diarrhea in Food Establishments
Clean up kit assembled and available: Yes□ No□
The establishment has a written procedure for cleaning vomit and diarrhea: Yes□ No□
Personal Cleanliness and Hygienic Practices
Employees will wash their hands whenever:
When handling ready-to-eat food, employees will wear gloves and avoid bare hand contact: Yes□ No□ NA□
Employees Break Area
Employee personal belongings will be kept:
Employee will only eat in:

	d Safety Managers NA□ FMC number	E(MMADD WWW)
Name	FMC number	Expiration (MM-DD-YYYY)
Food Product Receivi	na	
This establishment sources		
This establishment sources	flood from the following.	
Cold foods must be receive	ed at: °F NA□	
Hot foods must be receive	d at: °F NA□	
Corrective action at Receiv	ving if food is damaged, temperatu	are abused, or otherwise not safe:
	and it is a sit amining any competition	220 40 40 44, 01 04,201 10 0 110 0 04,201
Cold Holding NA [
Cold Holding NA□ Refrigerated units include	walk-in coolers, reach-in refrigera	tore candwich prep units etc
_	_	tions, sandwich prep units, etc.
Refrigerated units are main	ntained at: °F or below.	
All refrigerated units are p	rovided with accurate thermomete	rs: Yes□ No□
Corrective action if refrige	eration temperature is above 41°F:	
Corrective action in renige	ration temperature is above 11 1.	
Date Marking NA□		
All cold prepared food is r	narked as follows:	
Cold prepared foods stored	I will be disposed of after:	days
Γhawing NA□		
Frozen foods will be thaw	ed by:	

Cooking Temperatures NA□				
Foods will be cooked to the following minimum internal temperatures:				
Poultry, stuffed meats: °F				
Ground meats/sausages: °F				
Fish, solid portions of meats: °F				
Reheating method NA□				
Foods will be reheated to a minimum temperature of: °F				
Hot Holding Time / Temperature Control for Safety (TCS) Foods NA□				
Once properly reheated, hot foods are held at:				
Corrective action:				
Cooling NAD				
Cooling NA				
This establishment will cool foods by:				
Corrective action:				
Consumer Advisories / Allergen Statement NAD				
Consumer warning identifies undercooked / raw foods: Yes□ No□ NA□				
Allergen statement present: Yes□ No□ NA□				
Societies NAT				
Sanitation NA Characteristic and a labeled and attend to Var D. Na D.				
Chemicals properly labeled and stored: Yes□ No□				
Cleaning schedule developed and posted: Yes□ No□				
Warewashing of Equipment and Utensils NA□				
Manual dishwashing method:				
Sanitizer chemical type: (select one) Quaternary ammonia □ / Chlorine bleach □ /				
Other □ (describe): Sanitizer concentration: parts per million (ppm)				
parto per minion (ppm)				

How to test:						
How often to change:						
Test Strips: Yes□ No□						
Dishwashing Machine	NA□					
☐ Chemical or ☐ heat sanit	izer (select one)					
High temp heat sanitizer mi	nimum temperature:					
Sanitizer chemical type:	Minimum concentration in ppm:	How to test:				
Shellstock NA□						
Shellfish will be obtained fr	om:					
Shellfish tags will be kept in	Shellfish tags will be kept in chronological order for: days.					
Pest Control NA□						
Company name:						
Address:						
Phone number:						



>> Operational Plan Template

Food Establishment Operational Plan

Busin	ess Name:					
Addre	ess:					
Phone	:/Email:					
Days a	nd Hours of Op	eration: Inse	ert Hours of	Operation is	n the Follo	owing Format: 8am to 8pm
Days:				Hours	s:	
Seaso	onal: Yes□ No□			Mont	hs of Opera	ation:
Project	ted Maximum N	Jumber of M	leals to Re S	erved Per F)av	
Break		Lunch		Dinner		
Break		Zunen		Dinner.		
Maxim	num Number of	Kitchen Sta	ff Available			
Break	fast	Lunch		Dinner		
_						
Гуре о	of Services (Che		11 07			
	Commercially		oods Only			
	Cook and Serv					
	Hot/Cold Hold					
	Cook, Cool, R	eheat, Hot H	Iold and Ser	ve		
	Other:					
	I T	' 1 D			NT A	
	be Type of Spec			1	NA	, Ц
	iance and/or H					
	Sous Vide/Reduced Oxygen Packaging					
	□ Raw Fish: Sashimi, Ceviche					
	Curing, Drying Meat, Poultry or Fish					
	Fermenting (Example: Pickles, Sauerkraut, Kimchi)					
	Smoking for Preservation					
	Acidification, Low Acid Canned Food					
	Using Food Additives					
	Sprouting					
	Other:					

Time-temperature Control for Safety (TCS) Foods to Be Handled, Prepared and Served							
Thin meats, poultry, fish, and egg- examples: pizza, hamburger, deli sliced meats					No □		
Thic	k meats, whole poultry-examples: roast beef, who	Yes □	No □				
Cold processed foods-examples: salads: green/potato/slaw, sandwiches					No □		
Hot processed foods-examples: soups, stews, rice, noodles, gravy, casserole					No □		
Bakery items-examples: pies, custards, bread, cream filling, meringue					No □		
Raw or partially raw seafood, meat, or poultry-examples: sushi, oysters, clams,					No □		
steak tartar Fish: serving fish that requires parasite destruction made on site examples- sushi,					N. 🗆		
rish: serving fish that requires parasite destruction made on site examples- sushi, ceviche				Yes □	No □		
Fresh or live shellfish (oysters, mussels, clams, cockles)			Yes □	No □			
Exot	Exotic Mushrooms			Yes □	No □		
Chec	k Equipment	ı					
	Hand sink			How many			
	How many:		Type: □ 1-door □ 2	2- door □ 1	nultiple		
	Food preparation sink		Stove □Gas □Electric				
	Utility sink/ mop sink		Oven				
	Dump sink		Convection oven				
	3-bay sink		Fryer				
	3-bay sink at the bar		Griddle/Flat top				
	Dish machine		Flame grill				
	Ice bins/Ice machines		Salamander				
	Buffet line		Hot holding table				
	Ventilation hood		Steam kettle				
	Walk-in cooler How many:		Rotisserie				
	☐ Indoor ☐ Display ☐ Outdoor						
	Walk-in freezer How many:		Bathroom/Toilet fac	ilities			
	☐ Indoor ☐ Display ☐ Outdoor						
	Reach-in refrigerator How many:		Grease trap				
	Type: □ 1-door □ 2- door □ multiple						
	Sandwich prep/Low boy How many:		Other:				
	Type: □ 1-door □ 2- door □ multiple						
	Refrigerated Drawers How many:		Other:				
	Type: ☐ 1-door ☐ 2- door ☐ multiple						
Food Supplies							
How many times per week will you get delivery for the following?							
The many amos per week will you get delivery for the following.							
Refrigerated Foods: $1x \square 2x \square 3x \square 4+\square$ Daily $\square NA \square$							
Frozen Foods: $1x \square 2x \square 3x \square 4+\square$ Daily \square NA \square							
Dry Goods: $1x \square 2x \square 3x \square 4+\square$ Daily \square NA \square							

Catering and Off-Site Services NA □							
Will meals be prepared or cooked at off-site locations?	Yes □	Yes □ No □					
What type of vehicles will be used to transport food?							
How will HOT food be held at proper temperature during transport, preparation, and serving?							
How will COLD food be held at proper temperature during transport, preparation, and serving?							
How will food be protected from contamination during transport, preparation, and serving?							
Additional Comments:							
Garbage and Refuse NA □							
Will refuse be stored inside? If yes, where?	Ye	Yes □ No □					
Will a dumpster be used? If yes, provide answer below.	Yes	Yes □ No □					
How many dumpsters?							
How many times will the dumpster be picked up per week?							
Will garbage cans be stored outside?	Yes	Yes □ No □					
Will a grease receptacle be available to store used cooking oils?	Yes	Yes □ No □					
How many times will the grease receptacle be picked up per week?							
Are dumpsters/compactors/garbage cans/grease receptacles stored on non-absorbent materials such as concrete or asphalt? If no, describe surface:	Yes	□ No □					